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EXPRESS MAIL LABEL NO.: EV 148 724 964 US

PATENT APPLICATION
Docket No.: 14531.54.1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

RECEIVED

Assistant Commissioner for Patents Washington, DC 20231

JAN 2 4 2003

Technology Center 2600

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 list of three (3) references submitted for consideration.
- $\underline{X}$  Legible copies of the listed references or their relevant portions.
- All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

		Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.								
		Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.								
		Legible Copies of previously filed Information Disclosure Statement for application Serial No, filed								
In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:										
		Promptness Certification.								
		Check No in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);								
•		Petition for Consideration and Check No in the amount of \$								
	X	In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.								
	Dated	this 21 st day of January , 2003.								
		Respectfully submitted,								
		Jack J. Neylegger								
		RICK D. NYDEGGER								
		Attorney for Applicant Registration No. 28,651								
	022913									
		~~~~~*********************************								

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PATENT APPLICATION

Docket: 14531.54.1

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

cation of: Bruce L. Lieberman, et al. 09/526,579 ) Art Unit 2611 Confirmation No.: 1721 RECEIVE March 16, 2000 JAN 2 4 2003

For:

Filed:

METHODS AND SYSTEMS FOR Technology Center 2600

**BROADCAST DATA SERVICES** 

Examiner:

Serial No.:

Christopher C. Grant

## CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label No.: EV 148 724 964 US

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 21 day of January, 2003:

- Transmittal Letter (2 pgs., in triplicate)
- Information Disclosure Statement (2 pgs., in triplicate)
- PTO-1449 Listing Cited References (2 pgs.)
- Legible Copies of Three (3) Cited References
- Postcard

(name of person mailing correspondence)

RDN:dmh

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EXPRESS MAIL LABEL NO.: EV 148 724 964 US

PATENT APPLICATION

Docket No.: 14531.54.1

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		)
	Bruce L. Lieberman, et al.	) )
Serial No.:	09/526,579	) Art Unit
Filed:	March 16, 2000	) 2611 )
Confirmation No.:	1721	) )
For:	METHODS AND SYSTEMS FOR BROADCAST DATA SERVICES	) ) )
Examiner:	Christopher C. Grant	) )

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 RECEIVED

JAN 2 4 2003

Assistant Commissioner for Patents Washington, D.C. 20231

**Technology Center 2600** 

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention

(taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or

relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession,

custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish

reference, if any, are also enclosed.

In accordance with 37 C.F.R. § 1.97 (e)(1), each item of information contained in the

accompanying Information Disclosure Statement was first cited in any communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the

filing date of the Information Disclosure Statement.

To secure consideration of the references submitted with this Information Disclosure

Statement, the Commissioner is hereby authorized to charge or credit any overpayment to

Deposit Account No. 23-3178 of the undersigned. Triplicate copies of this sheet are enclosed

Dated this 21st day of January, 2003.

Respectfully submitted,

RICK D. NYDEGGER

Attorney for Applicant

Registration No. 28,651



022913

PATENT TRADEMARK OFFICE

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Form PTO-1449

Sheet 1 of 2

Applicant:

Bruce L. Lieberman, et al.

Serial No.:

09/526,579

Att'y Docket No.: 14531.54.1

Filing Date:

March 16, 2000

Group: 2611

Confirmation No.:

1721

METHODS AND SYSTEMS FOR BROADCAST DATA SERVICES

# INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

WE LETTE BY		U.S. Patent Documents				Technology Center 2600	
Examiner Initial*	Patent <u>Number</u>	Issue <u>Date</u>	Name	Class	Sub <u>Class</u>	Filing <u>Date</u>	
A1.	4,907,079	03/06/90	Turner et al.	358	84	09/28/87	
A2.	5,684,525	11/04/97	Klosterman	348	12	03/28/96	
Foreign Patent Documents							
Examiner Initial*	Document Number	Publ. <u>Date</u>	Country or Patent Office	Sub <u>Class</u>	Class	Trans- <u>lation</u>	
A3.	EP 0849954 A	6/24/98	EPO	H04N	7/58	Yes	

## References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form.

Examiner:

Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 148 724 964 US

Form PTO-1449

Bruce L. Lieberman, et al.

Applicant: Serial No.:

09/526,579

Filing Date:

March 16, 2000

Confirmation No.:

1721

For:

METHODS AND SYSTEMS FOR BROADCAST DATA SERVICES

Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Sheet 2 of 2

Group: 2611

Att'y Docket No.: 14531.54.1

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Examiner:

Date Considered:

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